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## AMENDMENTS

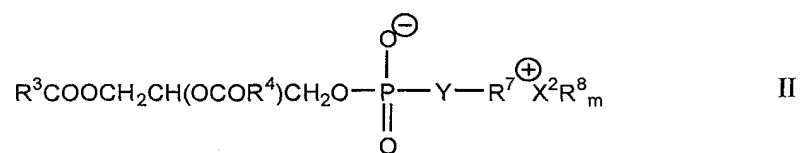
### In the Claims:

Please cancel claim 1 without prejudice.

Please add new claims 21-49 pursuant to 37 C.F.R. § 1.121(c)(1)(i) as set forth in the "clean" version set forth below. Entry is respectfully requested.

21(NEW). An oral vaccine comprising a nucleic acid operatively encoding an antigen complexed with or entrapped within liposomes formed from liposome forming components and comprising

- a) at least one cationic compound;
- b) zwitterionic phospholipid consisting of one or two compounds having the general formula II



in which  $\text{R}^3$  and  $\text{R}^4$  are the same or different and are a group of the formula  $\text{CH}_3(\text{CH}_2)_e(\text{CH}=\text{CH}-\text{CH}_2)_f(\text{CH}_2)_g-$  in which  $f$  is 0 to 6, each of  $e$  and  $g$  are 0 to 23 and  $e + g$  and  $3f$  is in the range 12 to 23;

$\text{R}^7$  is a  $\text{C}_{1-8}$  alkanediyl group;

$\text{Y}$  is  $-\text{O}-$  or a bond;

$\text{X}^2$  is N, P or S;

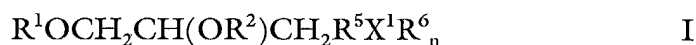
$m$  is 3 when  $\text{X}^2$  is N or P and is 2 when  $\text{X}^2$  is S; and

the groups  $R^8$  are the same or different and are selected from the group consisting of hydrogen,  $C_{1-8}$  alkyl,  $C_{6-11}$  aryl or aralkyl, or two or three of the groups  $R^8$  together with  $X^2$  form a saturated or unsaturated heterocyclic group having 5 to 7 ring atoms,

in which at least 50% by mole of groups  $R^3$  and  $R^4$  has a value for  $f$  of 0 and which comprises a compound in which  $R^3$  and  $R^4$  are the same and have a value for  $f$  of 0:

in which the molar ratio of cationic compound to zwitterionic phospholipid is in the range of 1:1 to 1:10.

22(NEW). A vaccine according to claim 21 in which the cationic compound has the general formula I,



in which  $R^1$  and  $R^2$  are the same or different and are a group of the formula  $CH_3(CH_2)_a(CH=CH-CH_2)_b(CH_2)_c(CO)_d-$  in which  $b$  is 0 to 6,  $a$  and  $c$  are each selected from 0-23 and  $(a + c + 3b)$  is in the range 12-23 and  $d$  is 0 or 1;

$R^5$  is a bond or a  $C_{1-8}$  alkanediyl group;

$X^1$  is N, P or S;

$n$  is 3 where  $X^1$  is N or P and is 2 where  $X^1$  is S; and

the groups  $R^6$  are the same or different and are selected from the group consisting of hydrogen,  $C_{1-8}$  alkyl,  $C_{6-12}$  aryl and aralkyl, or two or three of the groups  $R^6$  together with  $X^1$  form a saturated or unsaturated heterocyclic group having 5 to 7 ring atoms.

23(NEW). A vaccine according to claim 22 in which  $R^1$  is the same as  $R^2$  and  $R^3$  is the same as  $R^4$ .

24(NEW). A vaccine according to claim 23 in which  $R^1$  and  $R^2$  represent a different group to  $R^3$  and  $R^4$ .

25(NEW). A vaccine according to claim 23 in which  $R^1$  and  $R^2$  represent a different group to  $R^3$  and  $R^4$ , and in which in  $R^1$  and  $R^2$ ,  $b$  is 1, and in which  $(a + c)$  is in

the range 10 to 20.

26(NEW). A vaccine according to claim 23 in which d is 0.

27(NEW). A vaccine according to claim 22 in which  $X^1$  is N and in which the  $R^6$  groups are all  $C_{1-4}$  alkyl.

28(NEW). A vaccine according to claim 21 which comprises two zwitterionic phospholipids in each of which Y is O,  $X^2$  is N, and the groups  $R^8$  of the first phospholipid are all hydrogen and the groups  $R^8$  of the second phospholipid are all  $C_{1-4}$  alkyl.

29(NEW). A vaccine according to claim 28 in which, in each phospholipid  $R^7$  is  $(CH_2)_h$  in which h is 2 or 3.

30(NEW). A vaccine according to claim 28 in which the groups  $R^3$  and  $R^4$  of the said first phospholipid are the same and each is a group in which f is 1 and  $(e + g)$  is in the range 10 to 20.

31(NEW). A vaccine according to claim 30 in which in the groups  $R^3$  and  $R^4$  of the said second phospholipid are the same, f is O and  $e + g$  is in the range 15 to 23.

32(NEW). A vaccine according to claim 31 in which the said second zwitterionic phospholipid is selected from the group consisting of distearoylphosphatidylcholine, distearoylphosphatidylethanolamine, dipalmitoylphosphatidylcholine and dipalmitoylphosphatidylethanolamine.

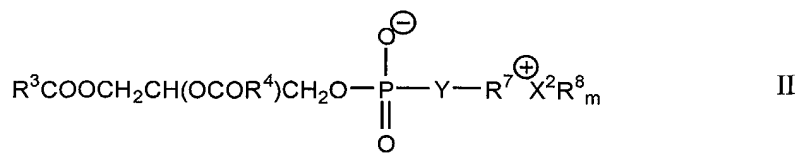
33(NEW). A vaccine according to claim 21 in which the cationic compound is cholesterol-3 $\beta$ -N-(dimethylaminoethyl) carbamate.

34(NEW). An oral vaccine according to claim 21 in which the liposome forming components include at least 25 mole% of components which individually have a transition temperature of more than 40°C.

35(NEW). A vaccine according to claim 21 in which the nucleic acid is entrapped within the liposomes.

36(NEW). A method of entrapping polynucleotide into liposomes involving the steps of:

- i) forming an aqueous suspension comprising naked nucleic acid, which operatively encodes an immunogenic polypeptide useful to induce a desired immune response in a human or animal subject, and preformed liposomes formed of liposome forming components comprising
  - a) at least one cationic compound;
  - b) zwitterionic phospholipid consisting of one or two compounds having the general formula II



in which R<sup>3</sup> and R<sup>4</sup> are the same or different and are selected from groups of the formula CH<sub>3</sub>(CH<sub>2</sub>)<sub>e</sub>(CH=CH-CH<sub>2</sub>)<sub>f</sub>(CH<sub>2</sub>)<sub>g</sub>- in which f is 0 to 6, each of e and g are 0 to 23 and e + g and 3f is in the range 12 to 23;

R<sup>7</sup> is a C<sub>1-8</sub> alkanediyl group;

Y is -O- or a bond;

X<sup>2</sup> is N, P or S;

m is 3 when X<sup>2</sup> is N or P and is 2 when X<sup>2</sup> is S; and

the groups R<sup>8</sup> are the same or different and are selected from the group consisting of hydrogen, C<sub>1-8</sub> alkyl, C<sub>6-11</sub> aryl or aralkyl, or two or three of the groups R<sup>8</sup> together with X<sup>2</sup>

form a saturated or unsaturated heterocyclic group having 5 to 7 ring atoms,

in which at least 50% by mole of groups  $R^3$  and  $R^4$  has a value for  $f$  of 0 and which comprises a compound in which  $R^3$  and  $R^4$  are the same and have a value for  $f$  of 0:

in which the molar ratio of cationic compound to zwitterionic phospholipid is in the range of 1:1 to 1:10.

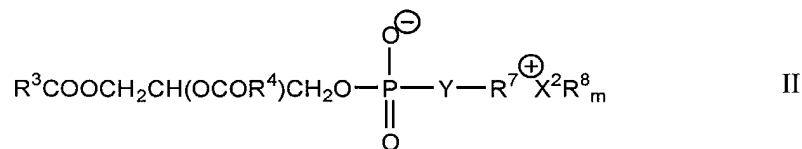
- ii) freeze-drying or spray-drying the suspension, and
- iii) rehydrating the product of step ii) to form dehydration/rehydration vesicles.

37(NEW). A method according to claim 36 comprising the further steps of:

- iv) subjecting the aqueous suspension of dehydration/rehydration vesicles from step iii to microfluidization to control their size; and
- v) optionally separating non-entrapped nucleic acid from liposomes.

38(NEW). Method of vaccinating an animal comprising administering orally a composition comprising a nucleic acid operatively encoding an antigen complexed with or entrapped within liposomes formed from liposome forming components comprising

- a) at least one cationic compound
- b) zwitterionic phospholipid consisting of one or two compounds having the general formula II



in which  $R^3$  and  $R^4$  are the same or different and are a group of the formula  $CH_3(CH_2)_e(CH=CH-CH_2)_f$  in which  $f$  is 0 to 6, each of  $e$  and  $g + 3f$  are 0 to 23 and  $e + g$  is in the range 12 to 23;

$R^7$  is a  $C_{1-8}$  alkanediyl group;

Y is -O- or a bond;

X<sup>2</sup> is N, P or S;

m is 3 when X<sup>2</sup> is N or P and is 2 when X<sup>2</sup> is S; and

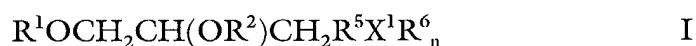
the groups R<sup>8</sup> are the same or different and are selected from the group consisting of hydrogen, C<sub>1-8</sub> alkyl, C<sub>6-11</sub> aryl or aralkyl, or two or three of the groups R<sup>8</sup> together with X<sup>2</sup> form a saturated or unsaturated heterocyclic group having 5 to 7 ring atoms;

in which at least 50% by mole of groups R<sup>3</sup> and R<sup>4</sup> has a value for f of 0 and which comprises a compound in which R<sup>3</sup> and R<sup>4</sup> are the same and have a value for f of 0,

wherein the molar ratio of cationic compound to zwitterionic phospholipid is in the range 1:1 to 1:10,

whereby an immune response to the said antigen is generated.

40(NEW). A method according to claim 38 in which the cationic compound has the general formula I,



in which R<sup>1</sup> and R<sup>2</sup> are the same or different and are a group of the formula CH<sub>3</sub>(CH<sub>2</sub>)<sub>a</sub>(CH=CH-CH<sub>2</sub>)<sub>b</sub>(CH<sub>2</sub>)<sub>c</sub>(CO)<sub>d</sub>- in which b is 0 to 6, a and c are each selected from 0-23 and (a + c + 3b) is in the range 12-23 and d is 0 or 1;

R<sup>5</sup> is a bond or a C<sub>1-8</sub> alkanediyl group;

X<sup>1</sup> is N, P or S;

n is 3 where X<sup>1</sup> is N or P and is 2 where X<sup>1</sup> is S; and

the groups R<sup>6</sup> are the same or different and are selected from the group consisting of hydrogen, C<sub>1-8</sub> alkyl, C<sub>6-12</sub> aryl and aralkyl, or two or three of the groups R<sup>6</sup> together with X<sup>1</sup> form a saturated or unsaturated heterocyclic group having 5 to 7 ring atoms.

41(NEW). A method according to claim 40 in which R<sup>1</sup> is the same as R<sup>2</sup> and R<sup>3</sup> is the same as R<sup>4</sup>.

42(NEW). A method according to claim 41 in which R<sup>1</sup> and R<sup>2</sup> represent a

different group to  $R^3$  and  $R^4$ .

43(NEW). A method according to claim 41 in which  $R^1$  and  $R^2$  represent a different group to  $R^3$  and  $R^4$ , in which in  $R^1$  and  $R^2$ ,  $b$  is 1, and in which  $(a + c)$  is in the range 10 to 20.

44(NEW). A method according to claim 38 in which the liposome forming materials comprise two zwitterionic phospholipids in each of which  $Y$  is O,  $X^2$  is N, and the groups  $R^8$  of the first phospholipid are all hydrogen and the groups  $R^8$  of the second phospholipid are all  $C_{1-14}$  alkyl, and  $R^7$  is  $(CH_2)_h$  in which  $h$  is 2 or 3.

45(NEW). A method according to claim 44 in which the groups  $R^3$  and  $R^4$  of the said first phospholipid are the same and each is a group in which  $f$  is 1 and  $(e + g)$  is in the range 10 to 20.

46(NEW). A method according to claim 45 in which in the groups  $R^3$  and  $R^4$  of the said second phospholipid are the same  $f$  is 0 and  $e + g$  is in the range 15 to 23.

47(NEW). A method according to claim 46 in which the said second zwitterionic phospholipid is selected from the group consisting of distearoylphosphatidylcholine, distearoylphosphatidylethanolamine, dipalmitoylphosphatidylcholine and dipalmitoylphosphatidylethanolamine.

48(NEW). A method according to claim 38 in which the cationic compound is cholesterol-3 $\beta$ -N-(dimethylaminoethyl) carbamate.

49(NEW). A method according to claim 38 in which the nucleic acid is entrapped within the liposomes.